

Appendix F Aquaculture Traceability Questionnaire

1. TRACEABILITY DRIVERS

Please rank the following questions on traceability drivers as they apply to your operation based on low to high priorities.

A. What is currently driving traceability within your operations?

	RANK			
	N/A	Low	Med	High
a. SUSTAINABILITY/ENVIRONMENTAL CONCERNS				
b. REGULATORY REQUIREMENTS				
c. INTERNAL QUALITY MANAGEMENT				
d. HEALTH/SAFETY/RECALL CONCERNS				
e. MARKET ACCESS				
f. OTHER (PLEASE STATE)				
COMMENTS:				

B. What do you see driving traceability in your aquaculture sector in the near future?

	RANK			
	N/A	Low	Med	High
a. SUSTAINABILITY/ENVIRONMENTAL CONCERNS				
b. REGULATORY REQUIREMENTS				
c. INTERNAL QUALITY MANAGEMENT				
d. HEALTH/SAFETY/RECALL CONCERNS				
e. MARKET ACCESS				
f. OTHER (PLEASE STATE)				
COMMENTS:				

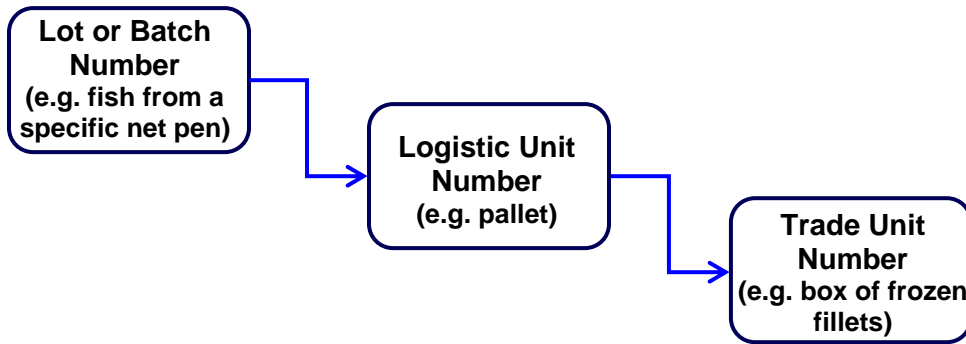
2. PRODUCT MARKET PROFILE

Please record the percentage of your product that goes to each of the following markets (domestic and international).

MARKET	%
a. CANADA	
b. UNITED STATES	
c. EUROPEAN UNION	
d. JAPAN	
e. CHINA	
f. OTHER ASIAN MARKETS	
f. OTHER (PLEASE STATE)	
COMMENTS:	

3. CURRENT INTERNAL TRACEABILITY PRACTICES

Please answer the following yes/no questions based on current traceability practices. Use the diagram below as a guide.



A. Product Identification

	Y	N
a. Do you use batch/lot numbers within your processing plants?		
b. Do you use Bar Codes or RFID technology for trade unit numbers?		
c. Do you use logistic unit numbers within your processing plants?		
d. Do you use trade unit numbers within your processing plants?		
e. Do you use EAN/UCC numbering system for trade unit numbers?		
COMMENTS: (Please indicate any trade units you use)		

B. Traceability Record Keeping

	Y	N
a. Is your traceability record keeping electronic based?		
b. If electronic, what type of software do you use?		
Spreadsheets such as Microsoft Excel		
Databases such as Microsoft Access		
Custom Traceability Software (please describe)		
COMMENTS:		

4. DOES YOUR COMPANY HAVE THE ABILITY TO TRACE UP THE VALUE CHAIN?

A. For Finfish

	Y	N	N/A
To the Hatchery Source?			
To the Broodstock Source?			
To the Feed Source?			
To Feed Source (e.g. fish protein sourced from a sustainable or sustainably certified wild fishery)?			
To the Farm Site?			
To the Net pen site?			
By Harvest Date?			
COMMENTS:			

B. For Shellfish

	Y	N	N/A
To the Hatchery Source?			
To the Broodstock Source?			
To the Farm Site?			
Harvest Date:			
Date of removal from the sea?			
Date of removal from live tanks?			
COMMENTS:			

5. ABILITY TO TRACE DOWN THE VALUE CHAIN (TO BUYER)

	Y	N	N/A
By batch/lot number?			
By PO Number?			
By bill of lading?			
Are you able to provide a sample of a product label used in your operation?			
Comments:			

6. PRODUCT POOLING

	Y	N	N/A
Does your business practice require pooling of sourced seafood to meet processing or order requirements? (e.g. grading finfish from several sources in the same batch or lot or live holding of shellfish from several farm sites)			
If Yes, please provide an explanation of the reasons for pooling product			
How does pooling impact your ability to trace product to the farm source?			

7. RECALL EXPERIENCE

Have you had experience with product recalls?
If so what were the key traceability issues?

8. TRACEABILITY PLANNING

Do you have, or are you developing, a plan to enhance traceability within your operation?
What do you see as the key barriers or issues this plan should address?

9 KEY CHALLENGES

What do you see as the key traceability challenge in your aquaculture sector?